

UNIVERSITY OF CONNECTICUT
THE GRADUATE SCHOOL
Unit 1006, WHETTEN GRADUATE CENTER 2nd floor
STORRS, CONNECTICUT 06269-1006

DISSERTATION PROPOSAL FOR THE DOCTORAL DEGREE

Please follow carefully the instructions on the reverse side.

Student's name in full

Mr. Miss

Ms. Mrs.

Dr.

First

M.I.

Last

Student ID # _____

Telephone # (____) _____ E-mail address _____

Mailing address for reply _____

Field of Study _____

Area of Concentration (if any) _____

Title of proposed dissertation _____

Date by which you expect to complete and defend the dissertation _____

Use of Human or Animal Subjects

If human or animal subjects are involved in the proposed research, approval must be granted in advance by the appropriate (either Storrs or Health Center) Institutional Review Board (IRB) or Institutional Animal Care and Use Committee (IACUC). HUMAN SUBJECT means a living individual about whom an investigator *either* obtains data through intervention or interaction with the individual *or* obtains personally identifiable private information. ANIMAL SUBJECT means any live, vertebrate animal. For Storrs information, please see <<http://www.compliance.uconn.edu/>>. For Health Center information, please see <<http://resadm.uchc.edu/hspo/index.html>> for human subjects or <http://clacc.uchc.edu/ACC/Animal_Care_Committee.htm> for animal subjects.

This research involves (check as appropriate): Human Subjects Animal Subjects Neither Human nor Animal Subjects

If human or animal subjects are involved in this research, a copy of any required IRB or IACUC approval(s) must be attached to this form.

Student's signature _____ Date _____

Signatures of each Advisory Committee member signifying approval of the attached Dissertation Proposal are required below:

names printed

names signed

Major Advisor _____ | _____

Associate Advisor _____ | _____

Associate Advisor _____ | _____

(Associate Advisor) _____ | _____

(Associate Advisor) _____ | _____

Reviewed and Approved by the Department or Program:

Dissertation Proposal Read by two reviewers

Review Option

(check one)

Student presentation to Advisory Committee and two reviewers

Review Committee

Department or Program Head
or Review Committee Chairperson _____ Date _____

Signature

Do not write below

Received by the Graduate School _____ Date _____

Signature

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INSTRUCTIONS

The Dissertation Proposal should be written, reviewed, and fully approved before preparation of the dissertation is well underway. Any delay in timely review and approval of the Proposal may result in wasted effort on a dissertation. At the latest, your Proposal should be ready for final review at least six months before your expected date of degree completion. Once the Proposal is approved by the members of your advisory committee, submit your Proposal to the Head of your Department or Program [or to the Chairperson of the Review Committee if appropriate]. Your Proposal must be fully approved at least three months prior to the date of your Oral Defense.

Your Plan of Study must be fully approved at the time your Dissertation Proposal is submitted for final review.

Required are:

1. The completed and signed Dissertation Proposal Approval form (with a copy attached of current IRB approval for human subjects and/or IACUC approval for animal subjects to be used in the research)
2. An accurate title
3. A concise statement which includes (a) the purpose, importance, and novelty of the study; (b) methods and techniques to be used; (c) availability and location of research facilities; and (d) a statement concerning the use of any human or animal subjects that are involved in the research
4. A selected bibliography.

The Proposal is to be as brief as possible without sacrificing completeness. A statement of not more than ten pages usually is sufficient. Please limit appendices and other attachments to those that are essential. Proposals of unnecessary length are discouraged since reviewers lack the time to read them.

When your Dissertation Proposal is ready for approval by your advisory committee, print three identical copies. Complete the following page in triplicate and staple one copy to the front of each of the copies of the Proposal. Each member of your advisory committee then signs each of the three copies where indicated. Finally, all three copies of your Proposal are to be submitted for review to the Head of your Department or Program [or to the Chairperson of the Review Committee if appropriate].

The three copies then will be forwarded to the Graduate School. You and your Major Advisor each will receive a copy of the Proposal when it is signed by the Graduate School, indicating that final approval has been granted.